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To: Examiner: Harish T. Dass
Group Art Unit: 3628
Confirmation No.: 3901

From: John S. Sensny, Esq.

Fax: 571-273-8300

Pages: 21 (Including fax cover sheet)

Phone:

Date: August 31, 2006

Re: Applicants: Charles Tresser, et al.
Serial No.: 09/734,086
Filed: December 11, 2000
For: "SECURE ELECTRONIC STOCKS
AND OTHER TITLES AND INSTRUMENTS"
Docket: YOR920000598US1 (13893)

CC

**AMENDMENT UNDER 37 C.F.R. §1.116 with
REQUEST FOR CONTINUED EXAMINATION (RCE)****TRANSMITTED HEREWITH:**

1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.116;
3. Request for Continued Examination (RCE) (in duplicate);
4. Authorization to charge IBM Deposit Account No. 50-0510/IBM in the amount of \$790.00 for the RCE fee; and
5. Certificate of Transmission of Facsimile, dated August 31, 2006 (in duplicate).

FOR:

Applicants: Charles Tresser, et al.
Serial No.: 09/734,086
Filed: December 11, 2000
For: "SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS"
Docket: YOR920000598US1 (13893)

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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) Applicant(s): Charles Tresser, et al.			Docket No. YOR920000598US1 (13893)	
Application No. 09/734,086	Filing Date December 11, 2000	Examiner Harish T. Dass	Group Art Unit 3628	
Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS			RECEIVED CENTRAL FAX CENTER AUG 31 2006	
CONFIRMATION NO.: 3901				
<p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.116 WITH RCE</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(571) 273-8300</u>) on <u>August 31, 2006</u> (Date)</p> <p style="text-align: center;"><u>John S. Sensny</u> (Typed or Printed Name of Person Signing Certificate)</p> <p style="text-align: center;"><u>John S. Sensny</u> (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>				

P18/REV02

AUG 31 2006

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) Applicant(s): Charles Tresser, et al.			Docket No. YOR920000598US1 (13893)	
Application No. 09/734,086	Filing Date December 11, 2000	Examiner Harish T. Dass	Group Art Unit 3628	
Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS				
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P18/REV02

AMENDMENT TRANSMITTAL LETTER (Large Entity)				Docket No.	
Applicant(s): Charles Tresser, et al.				YOR920000598US1 (13893)	
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/734,086	December 11, 2000	Harish T. Dass	23389	3628	3901
Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS					

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Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	20 =	0	x \$50.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0	x \$200.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
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John S. Sensny
Signature

Dated: August 31, 2006

John S. Sensny
Registration No. 28,757

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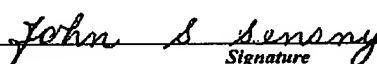
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Typed or Printed Name of Person Mailing Correspondence

P11LARGE/REV10

AMENDMENT TRANSMITTAL LETTER (Large Entity)				Docket No. YOR920000598US1 (13893)							
Applicant(s): Charles Tresser, et al.											
Application No. 09/734,086	Filing Date December 11, 2000	Examiner Harish T. Dass	Customer No. 23389	Group Art Unit 3628	Confirmation No. 3901						
Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS											
<u>COMMISSIONER FOR PATENTS:</u>											
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.											
CLAIMS AS AMENDED											
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE						
TOTAL CLAIMS	20 -	20 =	0	x \$50.00	\$0.00						
INDEP. CLAIMS	3 -	3 =	0	x \$200.00	\$0.00						
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00						
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00						
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 50-0510/IBM <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.											
 John S. Sensny Registration No. 28,757 Scully, Scott, Murphy & Presser, P.C. 400 Garden City Plaza - Suite 300 Garden City, New York 11530 (516) 742-4343			Dated: August 31, 2006 <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td colspan="2"> <input checked="" type="checkbox"/> hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ (Date) </td> </tr> <tr> <td colspan="2" style="text-align: center;"> _____ Signature of Person Mailing Correspondence </td> </tr> <tr> <td colspan="2" style="text-align: center;"> _____ Typed or Printed Name of Person Mailing Correspondence </td> </tr> </table>			<input checked="" type="checkbox"/> hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ (Date)		_____ Signature of Person Mailing Correspondence		_____ Typed or Printed Name of Person Mailing Correspondence	
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P11LARGE/REV10